



The Vacuum System of the KATRIN Experiment

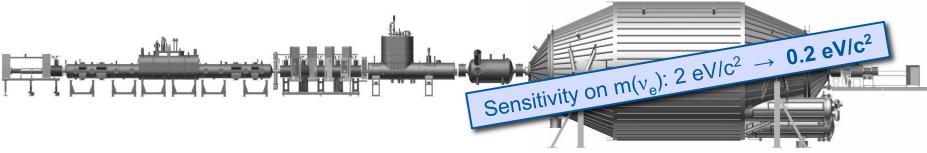
Joachim Wolf

Institute of Experimental Nuclear Physics

Grenoble, 02.12.2014

KATRIN Experiment
Tritium Source
Pumping and Transport Section
Spectrometer and Detector Section
Spectrometer Commissioning
Conclusions / Next Steps

The KArlsruhe TRItium Neutrino Experiment



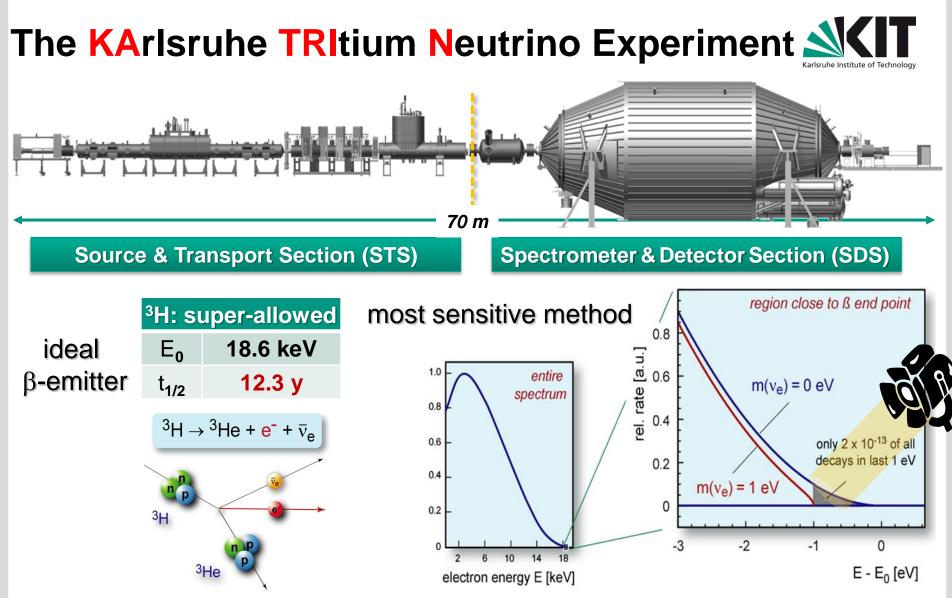
- Goal: measure the effective neutrino mass
- International KATRIN collaboration:
 - about 130 members
 - 5 countries (GER, US, CZ, RUS, ES)
 - 15 institutions





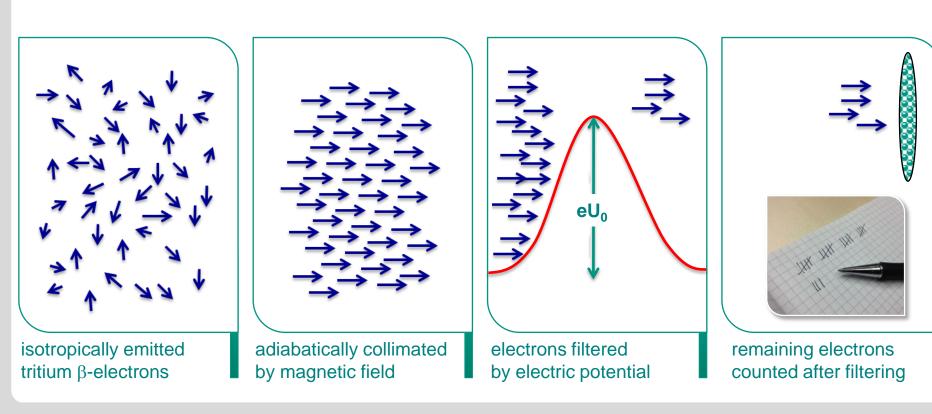
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G. Drexlin, V. Hannen, S. Mertens, C. Weinheimer, Current Direct Neutrino Mass Experiments (Review) Advances In High Energy Physics (2013) 293986

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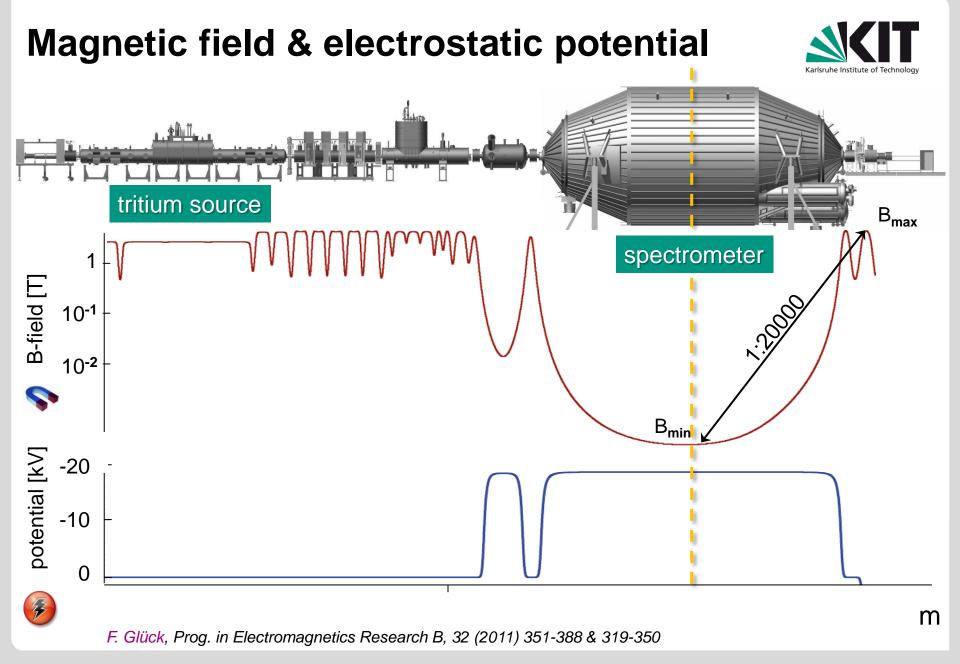


The MAC-E Filter

A. Picard et al., NIM B 63 (1992)

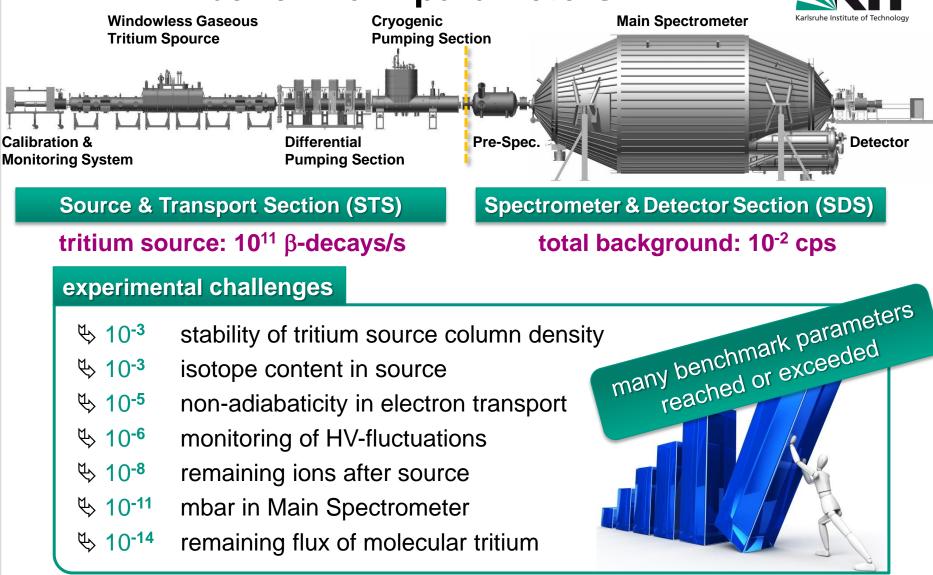
Magnetic Adiabatic Collimation with **E**lectrostatic Filter





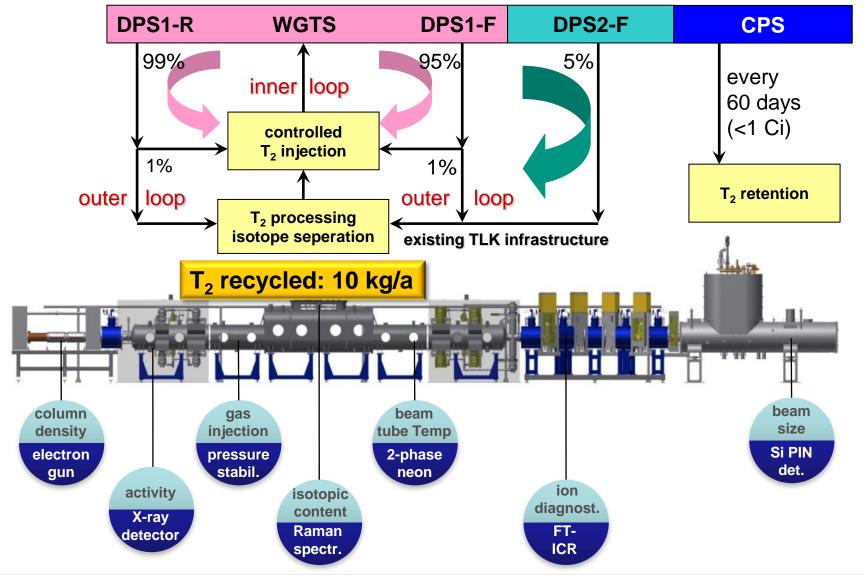
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KATRIN – benchmark parameters



Source: Tritium Loop and Retention

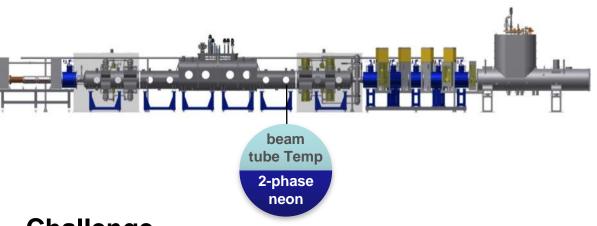




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Windowless Gaseous Tritium Source

Beam tube temperature



Challenge

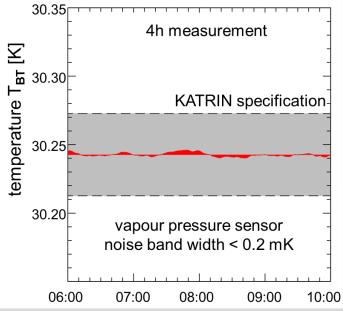
temperature stability on 10⁻³ level

Technological development

- novel 2-phase neon cooling system
- required: $\Delta T = \pm 30 \text{ mK} (1 \text{ h})$
- achieved: $\Delta T = \pm 1.5 \text{ mK} (1 \text{ h})$

stability surpassing specifications



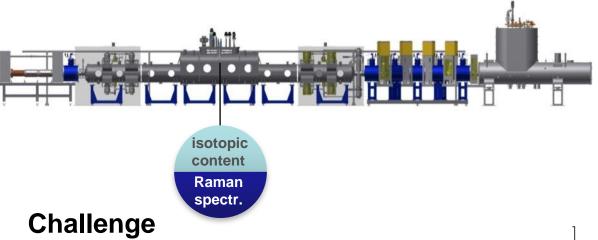




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Windowless Gaseous Tritium Source

Raman spectroscopy



 measure isotopic source content with 10⁻³ accuracy in 100 s

Technological development

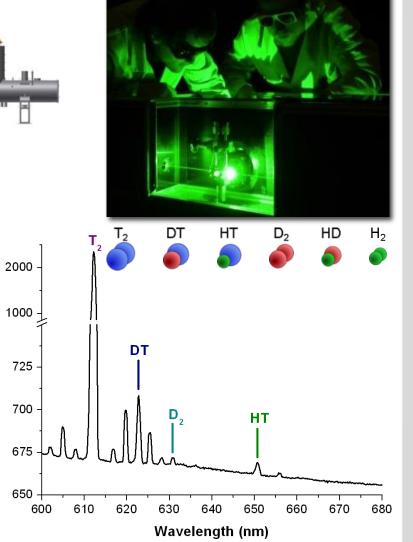
- calibrated Laser-Raman system
 for all 6 hydrogen isotopologues
- achieved: < 10⁻³ accuracy in 60 s

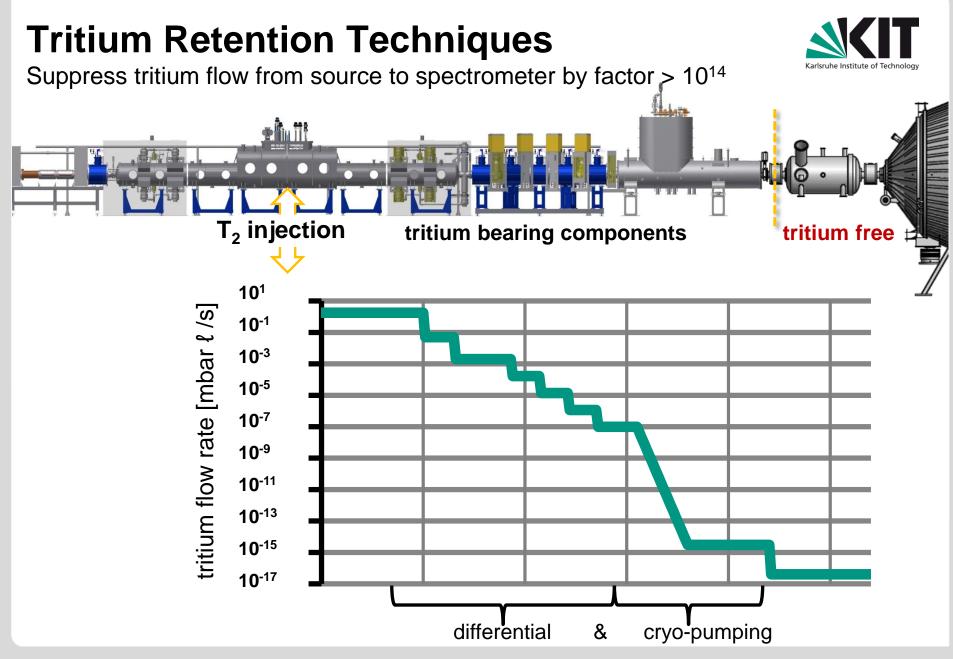
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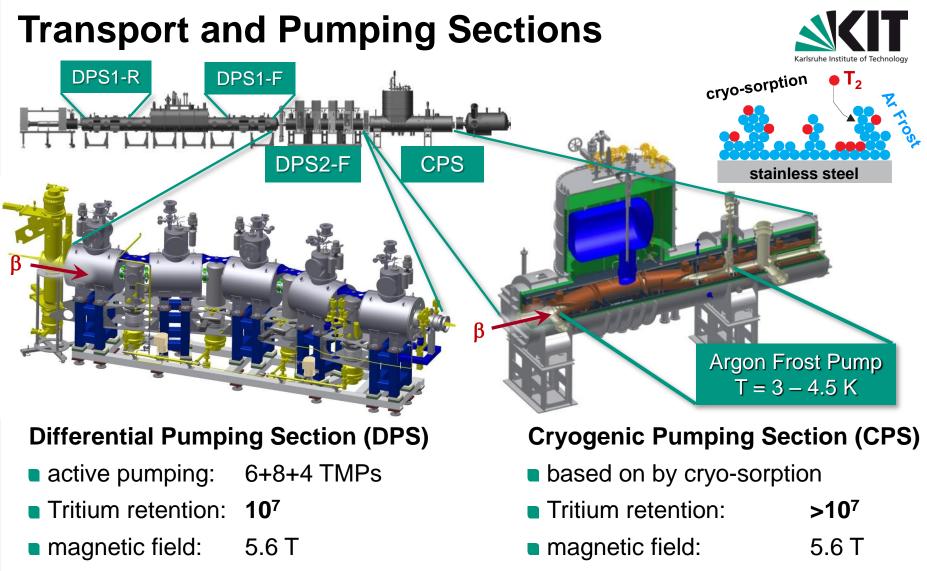
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ntensity (arb.)









built at KIT, commissioning 2015

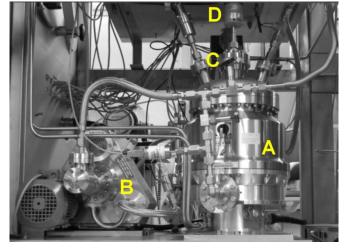
delivery, commissioning: 2015
 O. Kazachenko et al., NIM A 587 (2008) 136

F. Eichelhardt et al, Fusion Science and Technology 54 (2008) 615

Hazaradous operating conditions for TMPs?

Endurance test for TMP with tritium

- tritium can affect non-metal parts of pump
- TMP type: Leybold MAG-W 2800
- tested at Tritium Laboratory Karlsruhe (TLK)
- one year operation with tritium



F. Priester, PhD thesis at KIT (2013), http://www.katrin.kit.edu/375.php

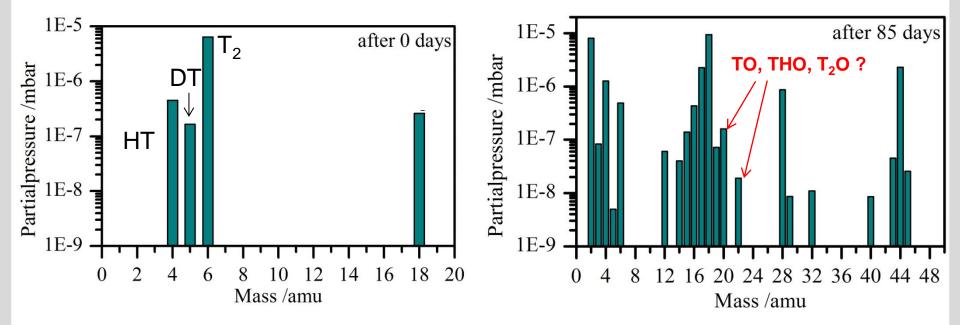
TMP in a magnetic field

- eddy currents can over-heat rotor
- high mag. field can slow down rotor
- failure of magnetic bearing
- test setup built at KIT for large TMPs
- math. model developed for prediction



Results of TMP tritium runs

- total runtime of MAG W2800 at TriToP: 398 days
- total throughput: 1106 g tritium
- equivalent to approx. one year of KATRIN operation
- **RGA** spectrum compatible with **H**, **D**, **T**, **N**, **O** and hydrocarbons
- no traces of HF, TF found in Raman spectrum of process gas



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Complete dismantling of a MAG W 2800





parts were highly contaminated with tritium, but

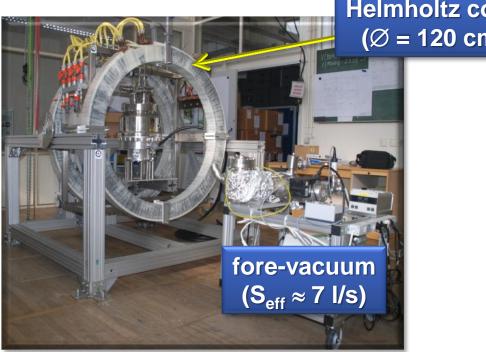
parts looked like new, no indication of wear, cables and O-rings ok

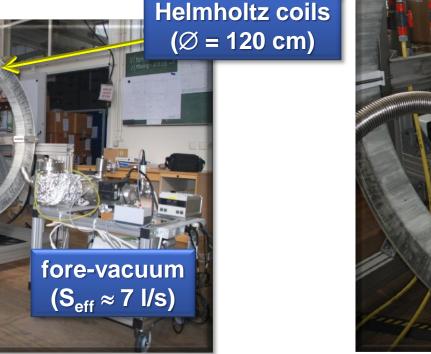
Grenoble, 02.12.2014

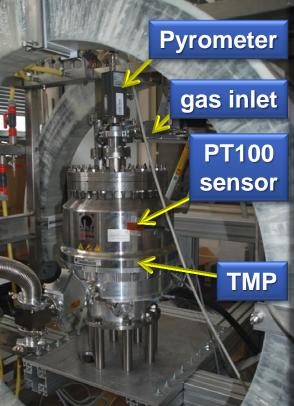
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TMP in a magnetic field

- Helmholtz coils: radius = 60 cm
- B-field: 0 50 mT
- coils can be turned by 90°
- pyrometer used for rotor temperature
- gas flow possible

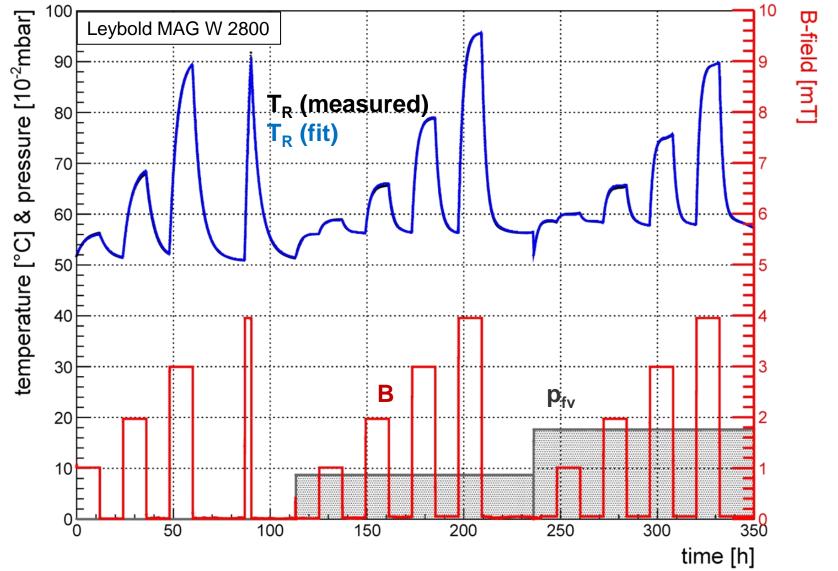






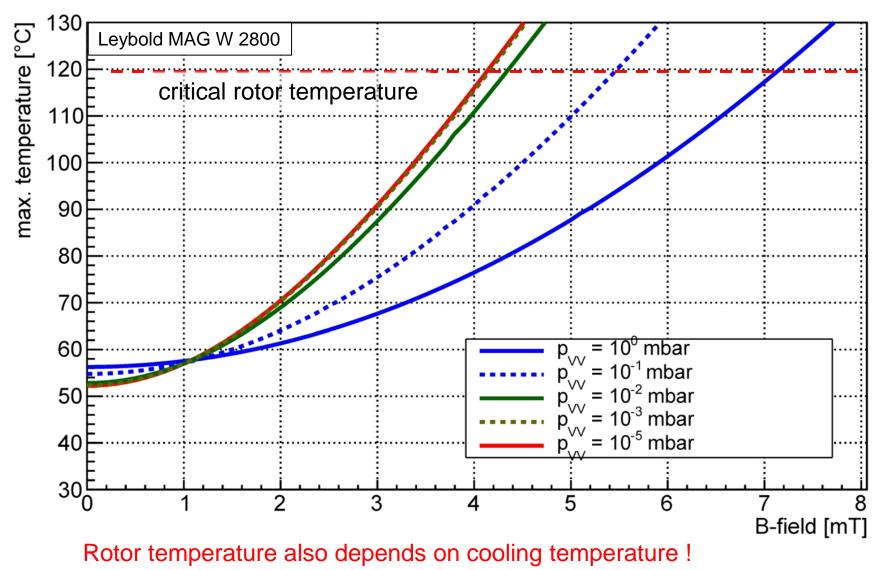
Model 1: fit of parameters $k_1 \dots k_6$

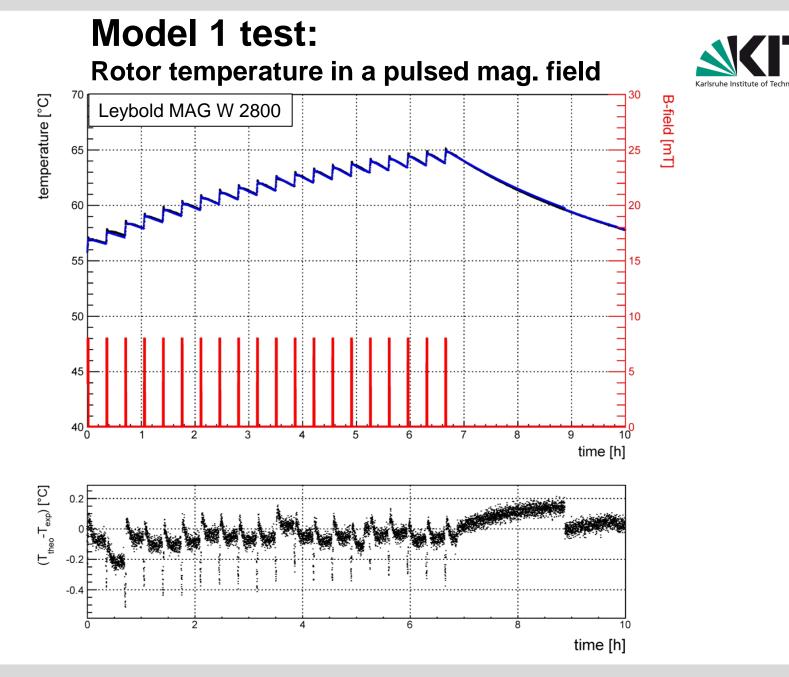




Model 1: maximum temperature







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Influence of magnetic field on TMP



 $B_0 \cdot \sin(\phi)$

parallel field:

- failure of magn. bearing (PZ12)
 - for B ↑ at 12.6 mT
 - for B ↓ at 21.5 mT
- no heating of the rotor

perpendicular field:

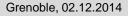
- heating of the rotor (eddy current) < 5 mT</p>
- reduction of rotation speed at 8 10 mT
- bearing stable up to 40 mT

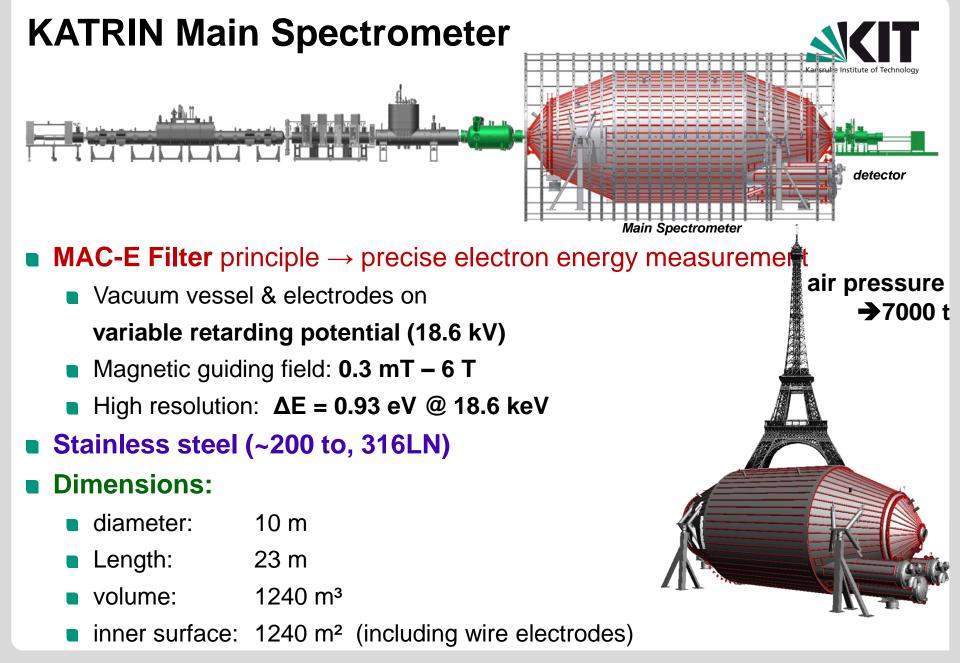
controller in magnetic field:

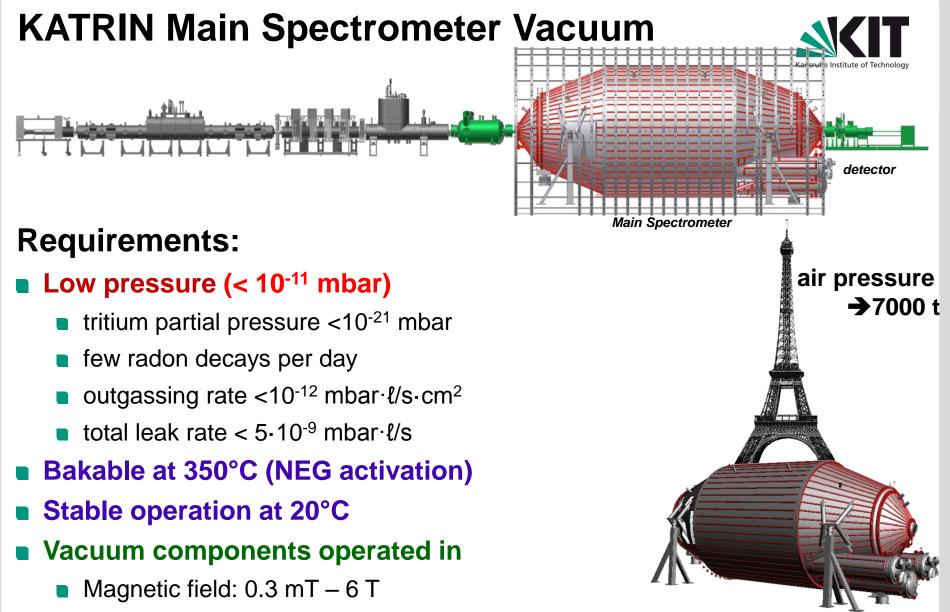
- fan fails at 6.5 mT
- pump shut down at 11 mT

Sty TMPs need magnetic shielding at WGTS and DPS

(magnetic field values valid for MAG W 2200 and 2800)







Electric potential: 18.6 kV

KATRIN Main Spectrometer (Deggendorf)





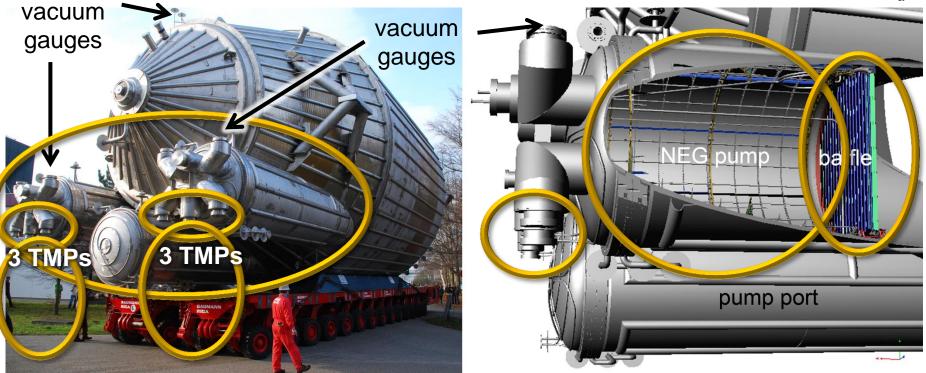
KATRIN Main Spectrometer Journey to KIT





KATRIN Main Spectrometer Vacuum





- Roughing pump: 640 m³/h screw-pump
- 6 turbo-molecular pumps (Leybold MAG-W 2800): 10 000 l/s (H₂)
- Fore-vacuum: 300 ℓ/s TMP and scroll pump (30 m³/h)
- 3 NEG-pumps (3000 m SAES St707 getter strips): ~10⁶ P/s (H₂)
- 3 cryogenic LN₂ baffles (radon): ~170 000 l/s (Rn)

400 000 ℓ/s

KATRIN Main Spectrometer Vacuum



Flanges and Gaskets:

UHV:

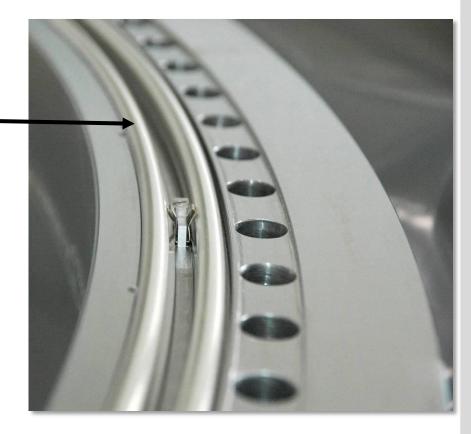
- CF flanges up to 250 mm
- HTMS double gaskets:
 - 500 mm flanges at ground-electrodes
 - 1700 mm flanges at pump ports –
- all gaskets bakable at 350°C

intermediate vacuum:

CF flanges

fore-vacuum:

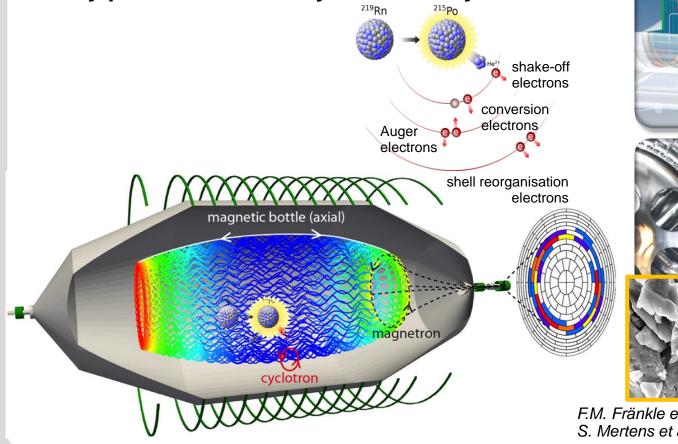
- KF flanges (Viton O-rings)
- ISO K for pump-down and venting

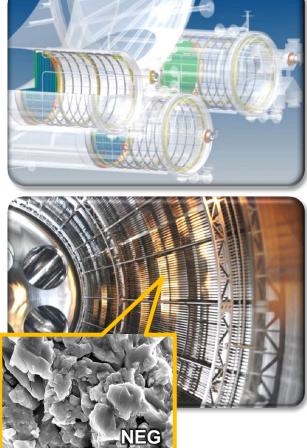


Radon as background source (problem)



- ²¹⁹Rn emanation from St707 NEG getter strips (3000 m) in pump ports
- ²²⁰Rn emanation from stainless steel walls/weldings
- electrons traped in B field for hours
- they produce secondary electrons by ionization





F.M. Fränkle et al., Astropart. Phys. 35 (2011) 128 S. Mertens et al., Astropart. Phys. 41 (2013) 52

Radon as background source (solution)



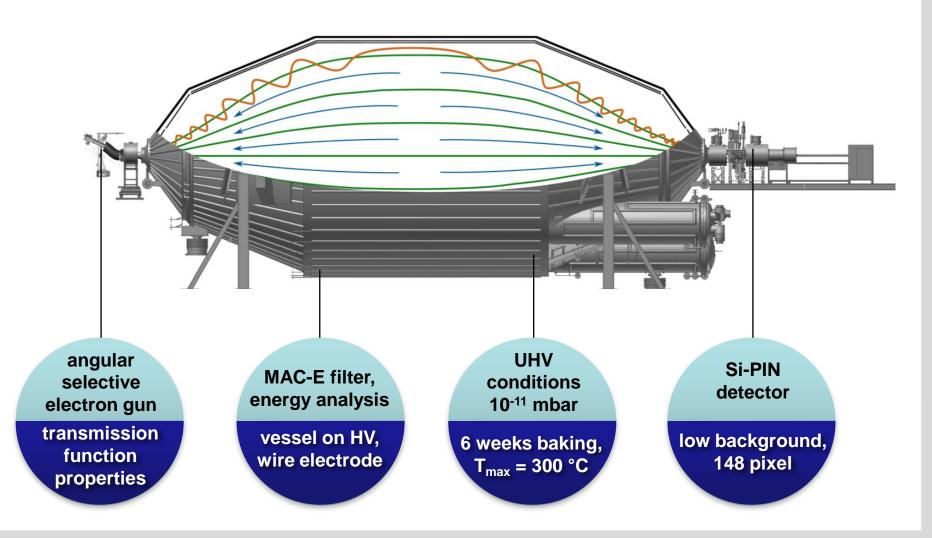
passive background reduction: LN2-cooled baffles to cryo-sorb ²¹⁹Rn



reduction of effective NEG pumping speed: 40%
 reduction of Rn flow into main volume : ~ 0.4%
 pumping speed for Rn from walls: 170 000 ℓ/s

KATRIN Main Spectrometer and Detector Commissioning 2013





2008 – 2012: Wire Electrode Installation



248 wire electrodes on the inner surface

- 23 440 insulated wires
- 120 000 individual parts

Installed under cleanroom conditions



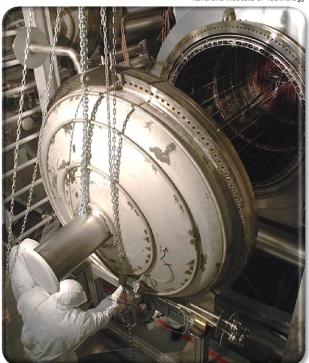




2012: All Components Installed



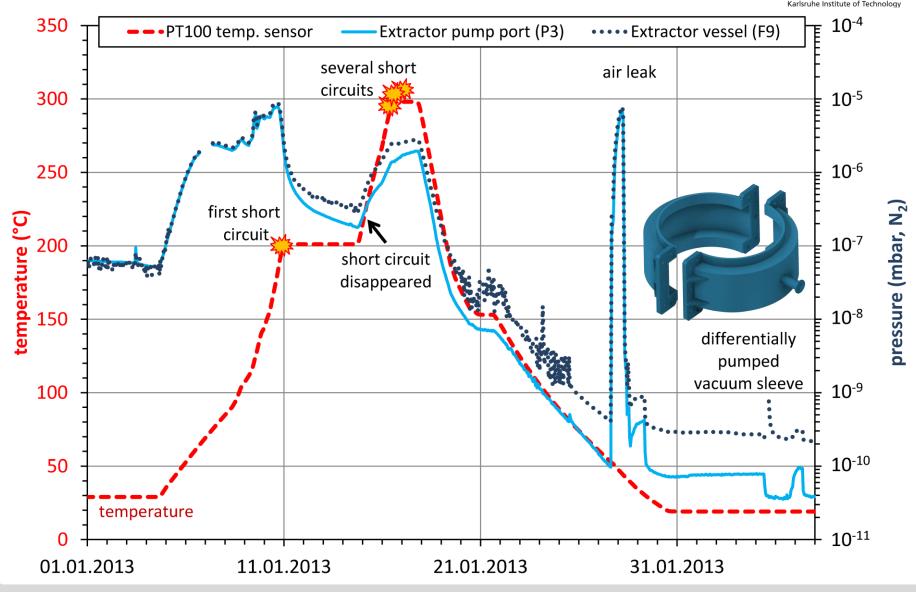




- Electrode installation completed
- Vacuum system installed
- Successful leak test
- Commissioning of heating and vacuum control system (PCS7)



Spectrometer Commissioning: Bake-out



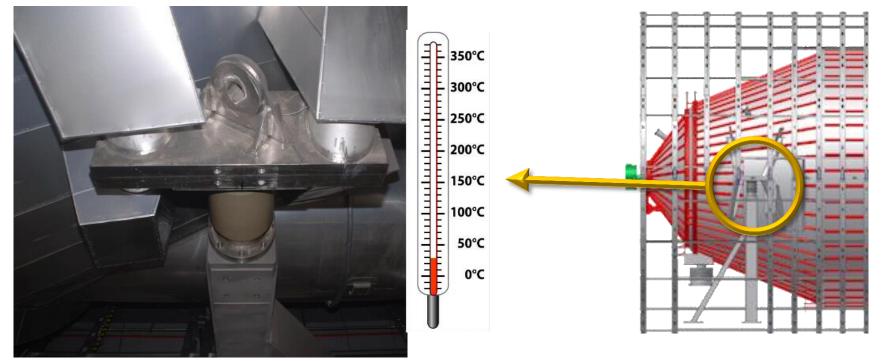
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Baking of the Main Spectrometer



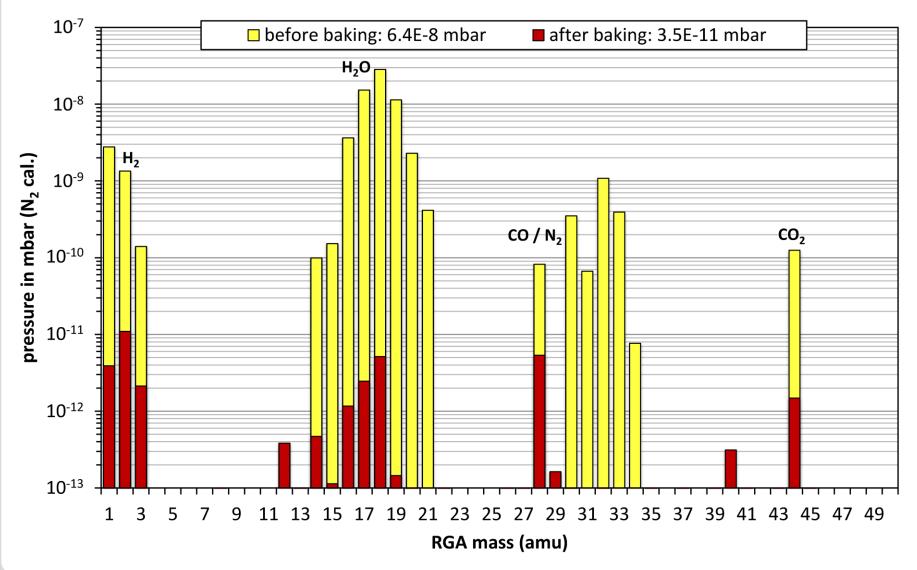
- Duration of baking: 4 weeks
- 24/7 shifts
- Max. temperature: 300°C
- Heating rate: 1°C 5°C/h

Thermal expansion during bake-out: ~ 10 cm



Vacuum status after bake-out

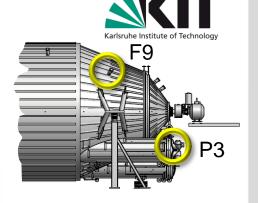


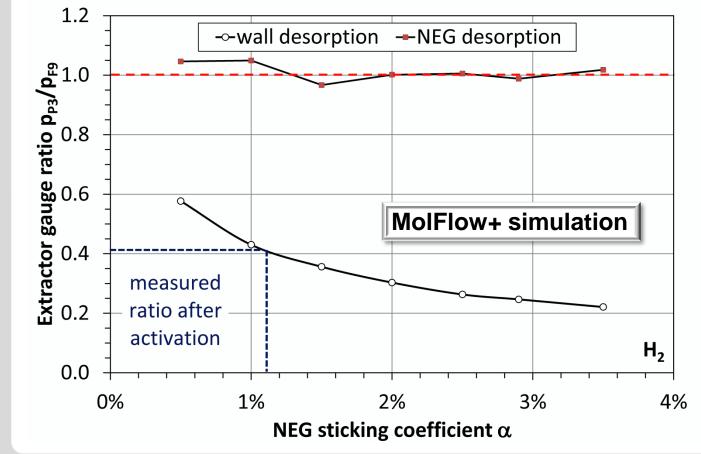


NEG activation



pressure ratio between vessel and pump port

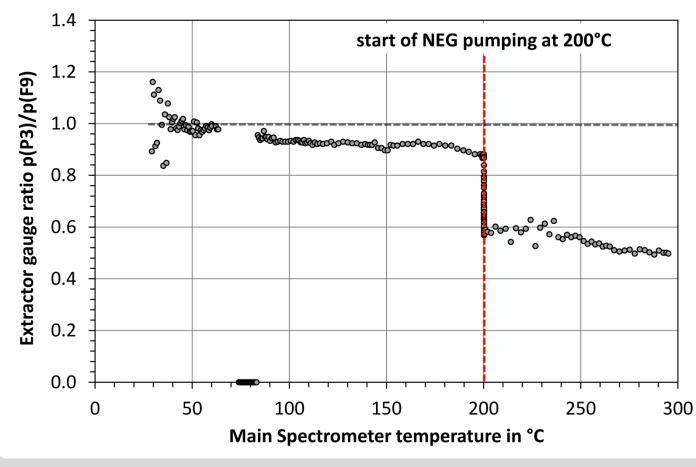


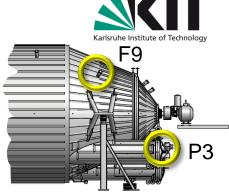


NEG activation

Indicator for NEG activation:

- pressure ratio between vessel and pump port
- first indication for NEG pumping at 200°C

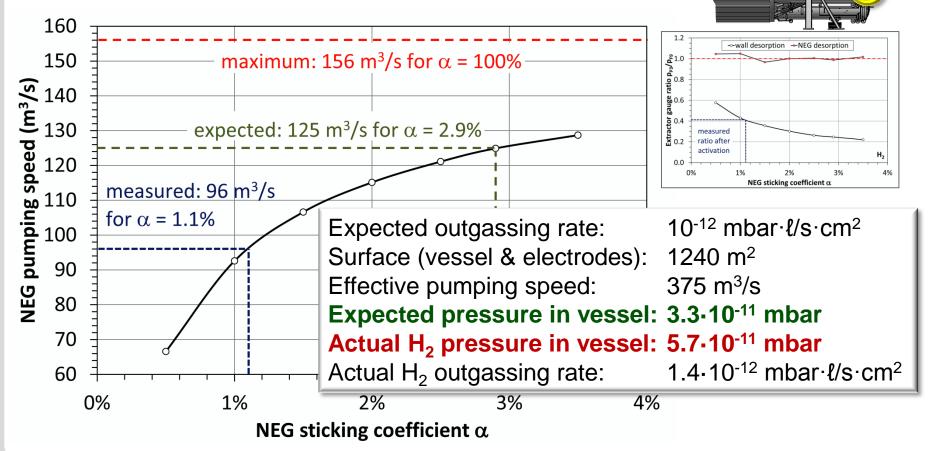




NEG activation

Indicator for NEG activation:

- pressure ratio between vessel and pump port
- after baking: $p_{P3}/p_{F9} = 0.41 \Rightarrow S_{NEG} \approx 290 \text{ m}^3/\text{s}$



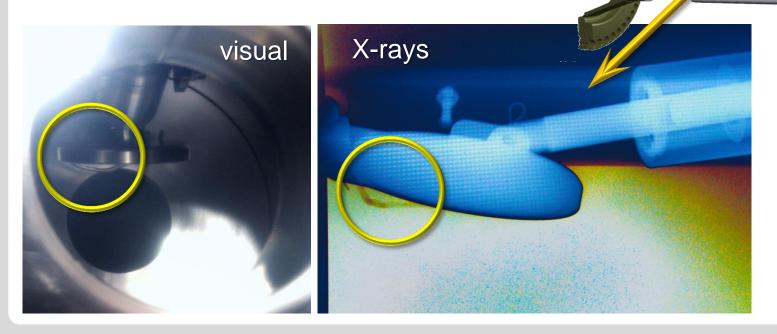
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P3

Coupling of Spectrometer and Detector



- Detector de-coupled during bake-out
- Requires valve inside magnet bore
- O-ring partly slipped out during baking
- Challenge: attach detector without saturation of the activated NEG-pump



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O-ring exchanged in Ar atmosphere $\mathbf{\nabla}$ ✓ beam-line valve now leak tight detector section attached $\mathbf{\nabla}$

inert gas atmosphere (Ar)

contamination of NEG

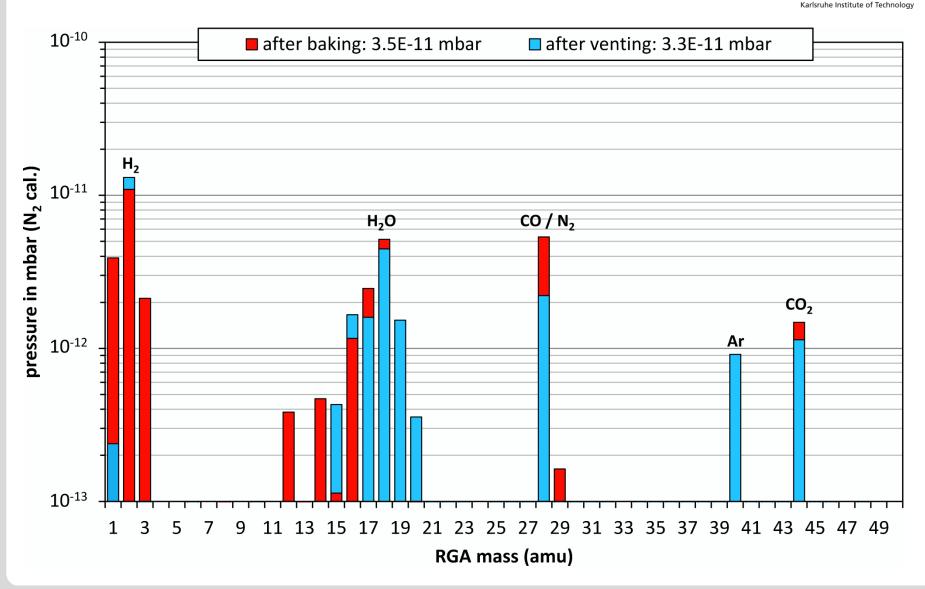
Solution: replacing the O-ring under

Coupling of Spectrometer and Detector



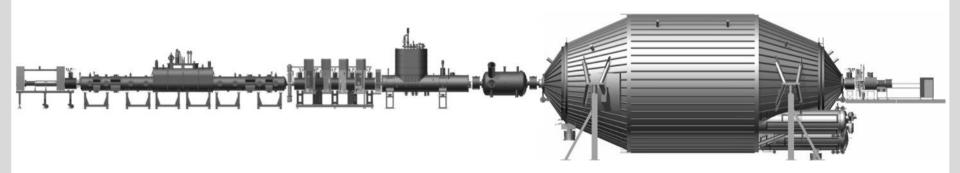


Vacuum status after venting with argon



KATRIN Schedule



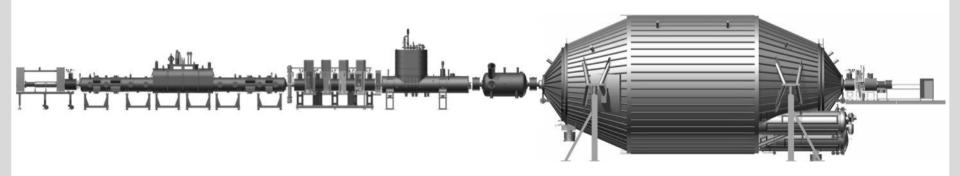


- spectrometer upgrade for low background (0.01 cps) Q1/2015
- tritium retention units DPS and CPS functional Q2/2015
- tritium source WGTS final mounting completed mid-2015
- spectrometer upgrade completed
- all source elements & tritium loops integrated
- first tritium in source, ramp up to nominal ρd
- first tritium data with entire beam line

mid-2015 Q3/2015 Q4/2015 Q1-Q2/2016 mid-2016

Conclusions





- Source and Transport Section still under construction
- Spectrometer and Detector Section commissioned
- Various smaller experiments investigate specific questions
 - TMPs in magnetic fields
 - Tritium compatibility of TMPs
 - Outgassing rates

....

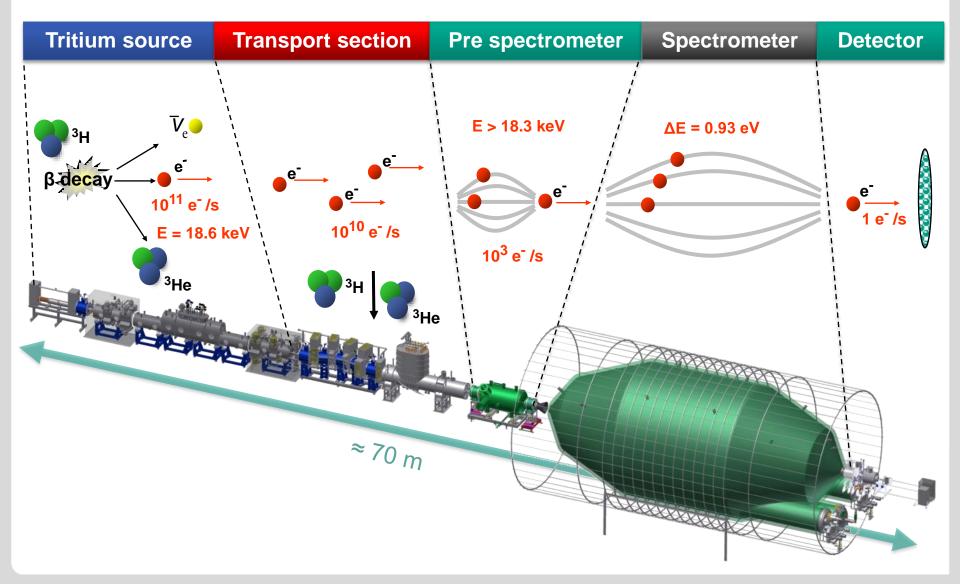


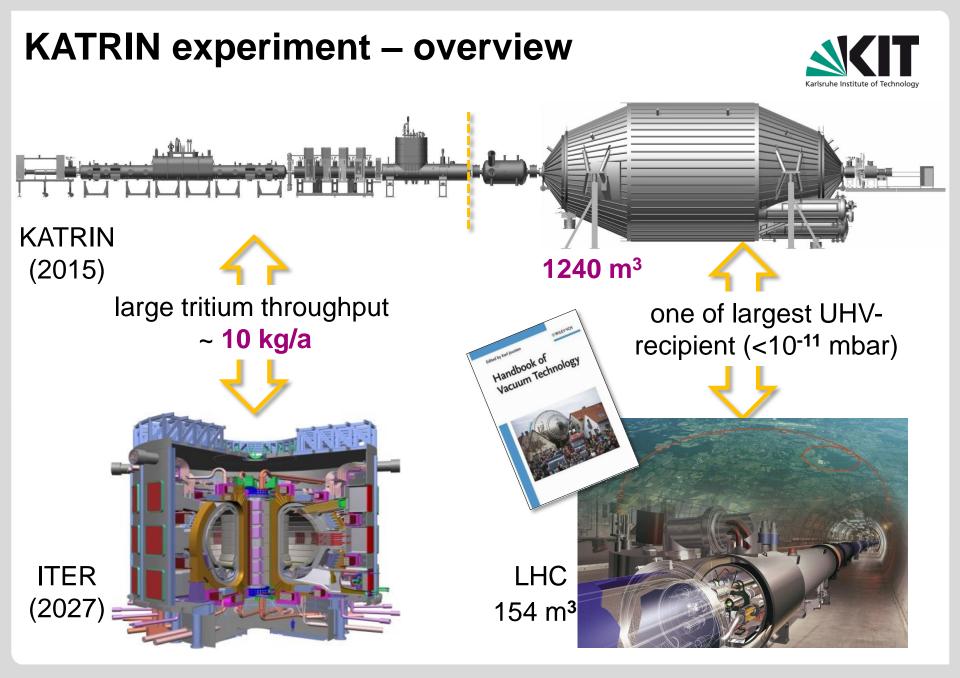


Backup slides

The KATRIN Setup - Overview



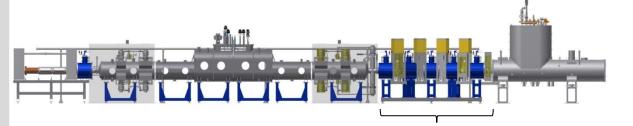




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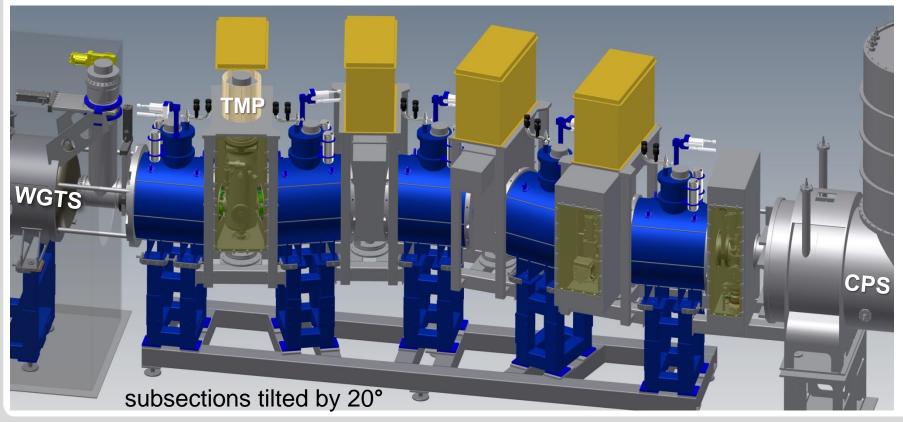
DPS 2-F – differential pumping section







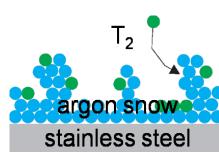
DPS: active differential pumping by 4 main TMPs - retention factor 10⁵



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CPS – cryogenic pumping section





CPS: passive cryotrap

cryogenic pumping

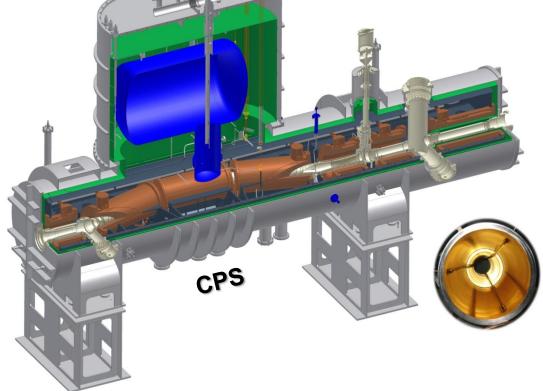
- 3 K beam tubes with Ar frost
- tritium retention factor > 10^7

adiabatic guiding of electrons

- 7 s.c. solenoids (B = 5.6 T)

Port instrumentation

- vertical access port for condensed ^{83m}Kr source
- horizontal port for monitoring

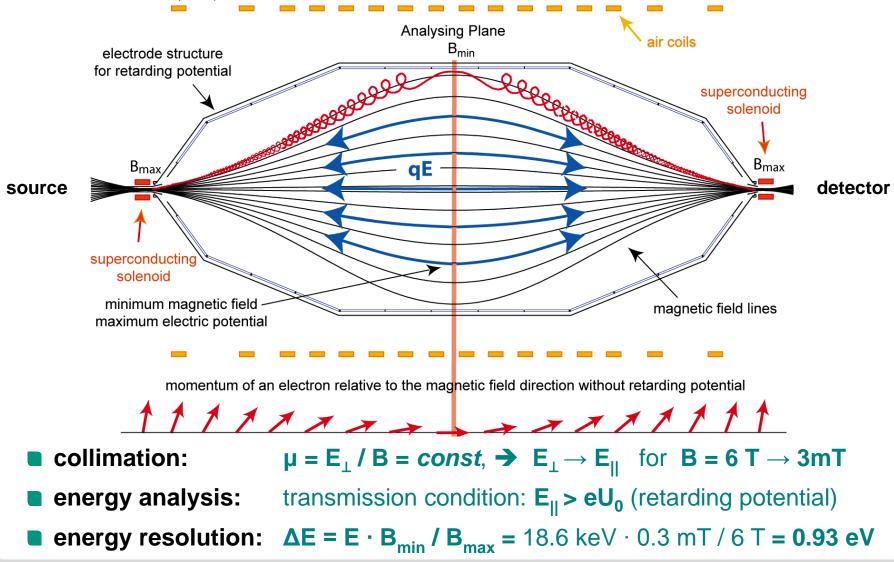


The MAC-E Filter

Magnetic Adiabatic Collimation with Electrostatic Filter



A. Picard et al., NIM B 63 (1992)



2013: Spectrometer Commissioning



Vacuum conditioning for the MAC-E-filter test measurements

- Plan: baking of the M.S. at 350°C (cleaning and activation of NEG)
- Goal: reach UHV conditions with p ≈ 10⁻¹¹ mbar
- Bake-out in January 2013

Problems during bake-out (partly solved)

- Short circuit between current leads to electrodes @ 200°C 300°C
 - Reduced baking temperature (300°C) to avoid further damage
- Leakage in CF flange at 50°C
 - Differentially pumped vacuum sleeve
 - Another leakage after Ar venting
- Leakage in beam-line valve
 - Ar venting for repair

Detector and e-gun connected

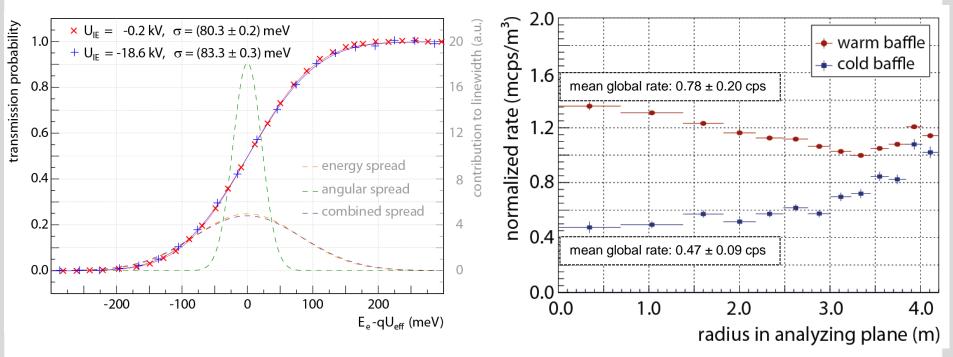
Start of MAC-E-filter tests

temperature map

KATRIN Main Spectrometer Measurements

detailed transmission and background studies

- sharpest transmission function ever measured with MAC-E filter
- background from ²¹⁹Rn/²²⁰Rn emanation eliminated

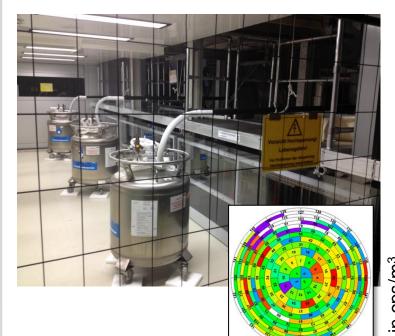


will be improved during 2014 commissioning runs

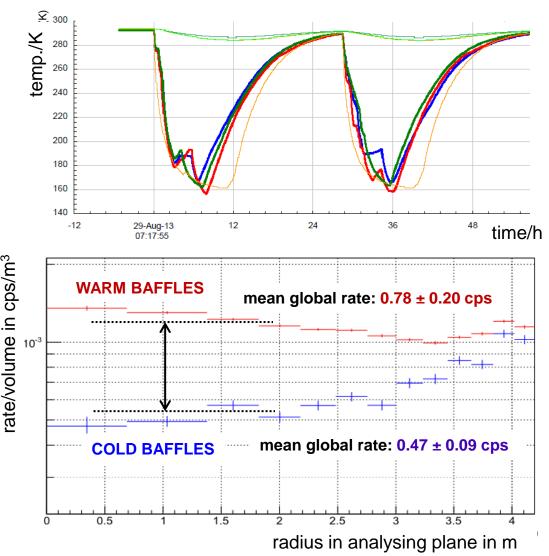
Results on the Radon Induced Background

Measurements with cold baffles and high voltage

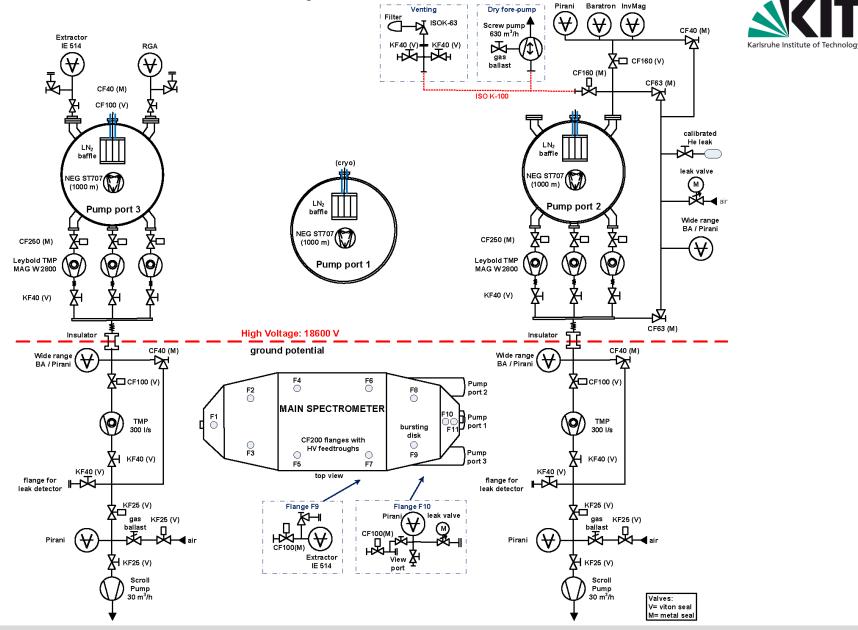




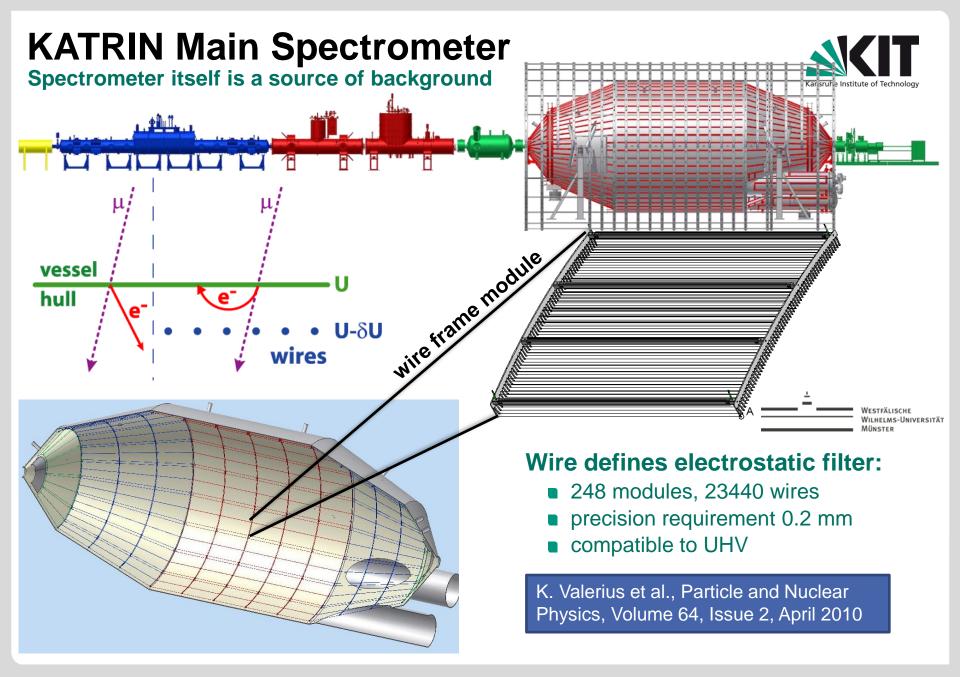
- Two short measurements
- Background strongly reduced
- Proof of principle: baffles work as expected
- Long-term performance will be tested in 2014/2015



Vacuum scheme of the Main Spectrometer



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KATRIN Main Spectrometer

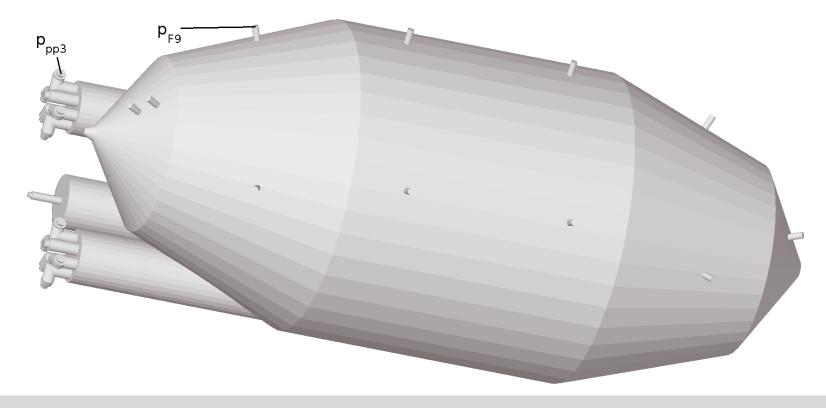


Component	Material	Temp.	Surface
Main Spectrometer vacuum vessel	316LN	20°C	690.0 m ²
Wires (23440 wires with a total length of 42400 m)	316L	20°C	33.6 m ²
Electrode frames (248 modules)	316L	20°C	436.8 m ²
Electrode rail system	316LN	20°C	58.0 m ²
Feedtrough flanges	316LN	20°C	2.0 m ²
Small components (frame NEG-pumps, etc.)	316L	20°C	1.5 m ²
Σ stainless steel	316L(N)	20°C	1221.9 m ²
Σ ceramic insulators	Al ₂ O ₃	20°C	5.8 m²
Σ anti-penning electrodes	Ti	20°C	11.0 m ²
Σ ground electrodes	AI	20°C	1.3 m²
Σ surfaces at room temperature		20°C	1240 m ²
Σ cryogenic baffles	Cu	77 K	31 m ²
Σ NEG-strips	St707	20°C	180 m ²
Volume Main Spectrometer			1240 m ³

Simulations of the Main Spectrometer



- simplified model of the main spectrometer created (optimized discretization for Molflow)
- simulate pressure ratio p_{P3} / p_{F9} of pressure gauges



Simulations of the Main Spectrometer



three possible gas sources for hydrogen and radon:

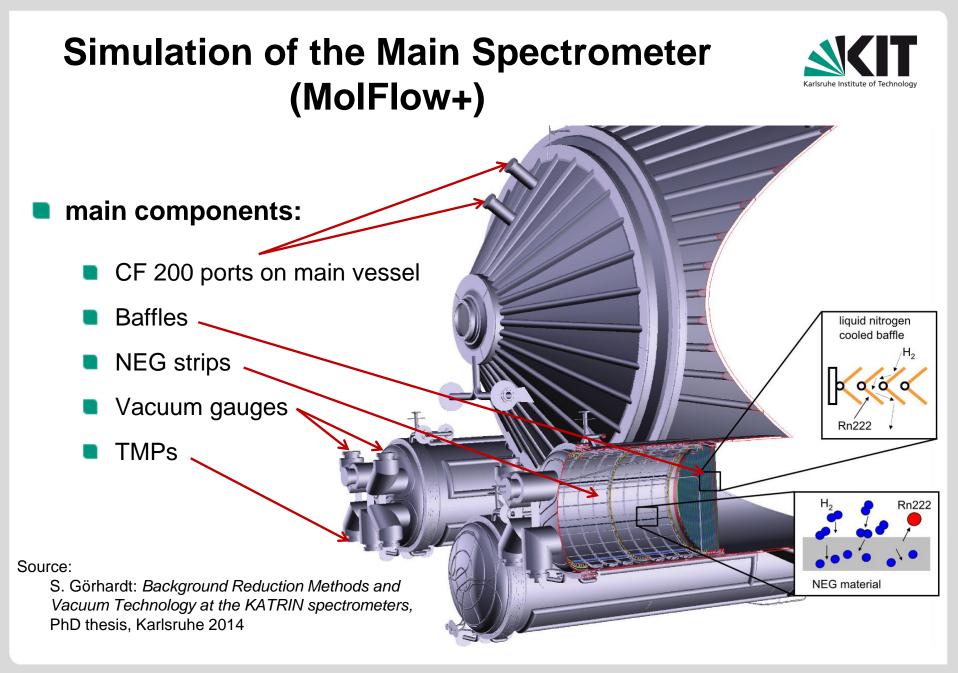
- complete stainless steel tank
- NEG strips in pump ports
- diagonal virtual area in one pump port (cross section between port and vessel) for determination of pumping speeds

three possible pump variations:

- NEG pumps hydrogen with α_{NEG} between 0.5% and 3.5% (2.9% expected)
- TMPs for hydrogen or radon with their respective α_{TMP}
- baffles with α_{baffle} between 0% and 100% for radon

aims:

- find correlations between α_{baffle} , α_{NEG} and pressure ratios
- simulation of effective pumping speed of NEG, TMPs and baffles
- comparison with experimental ratios \rightarrow effective pumping speed
- simulate radon suppression factor

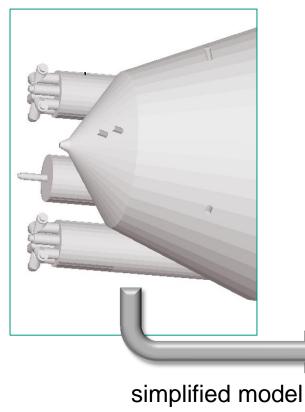


Simulation of an effective pumping speed

Simulate pump as surface with an adsorption probability α

 \overline{c}

- Determine **pumping probability**:
- Calculate the effective pumping speed: $S(M) = \frac{1}{4} \bar{c}_M \cdot A_{port} \cdot w$

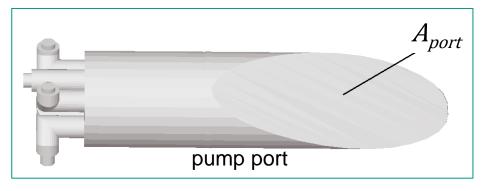


: mean molecular speed for mass M

$$\bar{c} = \sqrt{\frac{8k_{\rm B}T}{\pi M}}$$

 $w = N_{ads}/N_{des}$

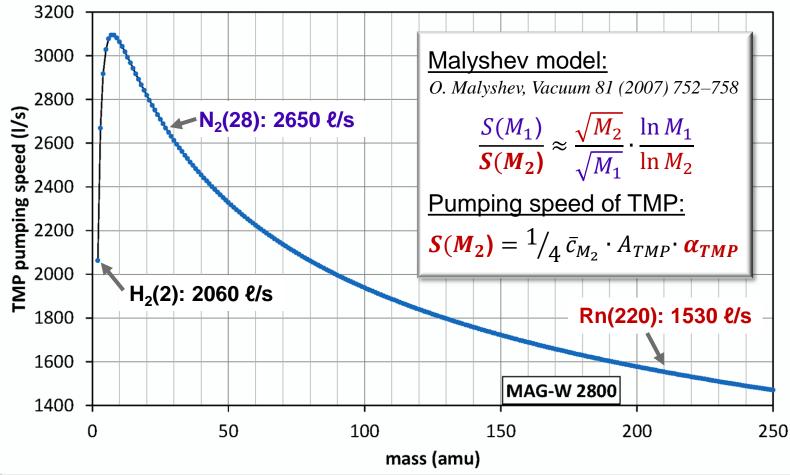
- A_{port} : desorption area (virtual area)
- N_{ads} : number of adsorptions in pump
- N_{des} : total desorption number



TMP simulation

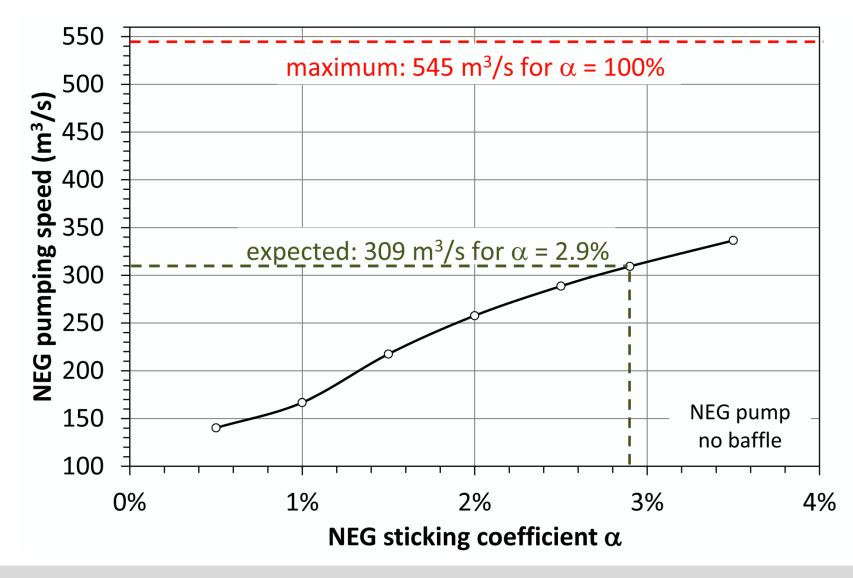


- Determine pumping speed of TMP for mass of gas particle (Malyshev model)
- Simulate **pumping probability** $w = N_{ads}/N_{des}$
- Effective pumping speed: $S(M_2) = \frac{1}{4} \bar{c}_{M_2} \cdot A_{port} \cdot w$



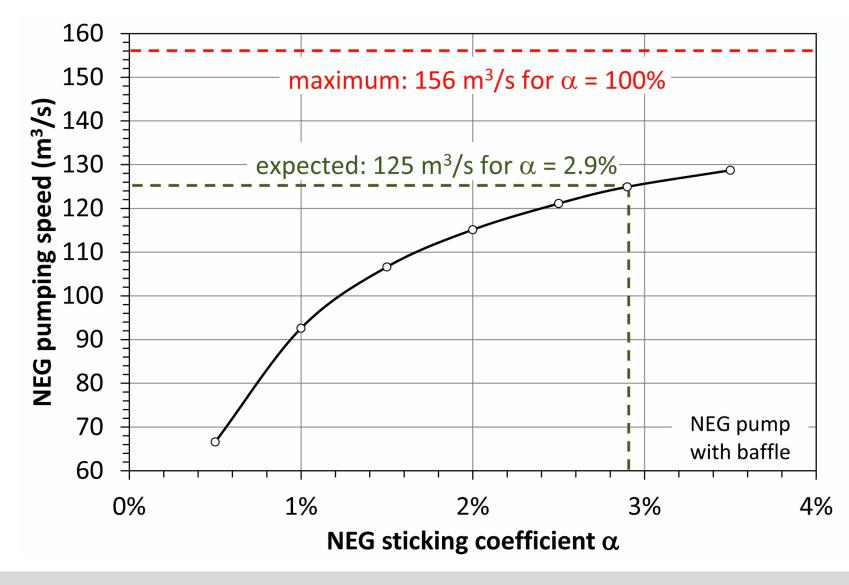
NEG-pump simulation (without baffle)





NEG-pump simulation (with baffle)

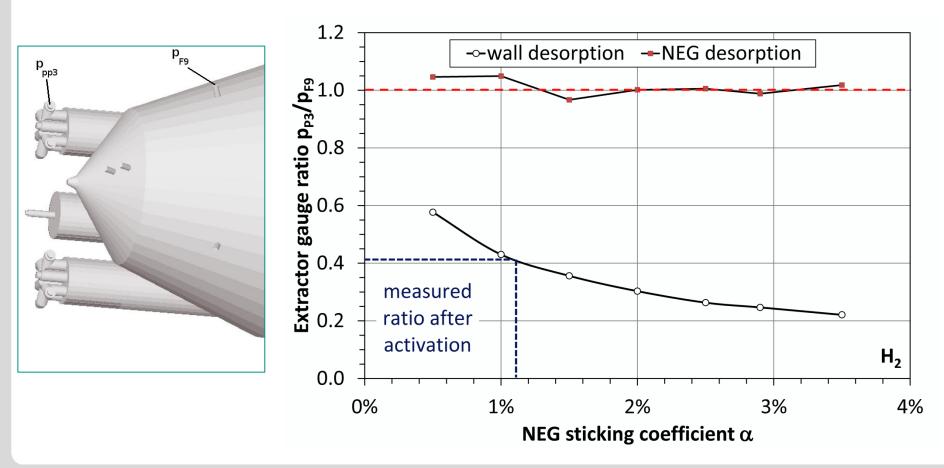






Simulation results for the NEGs as primary pumps

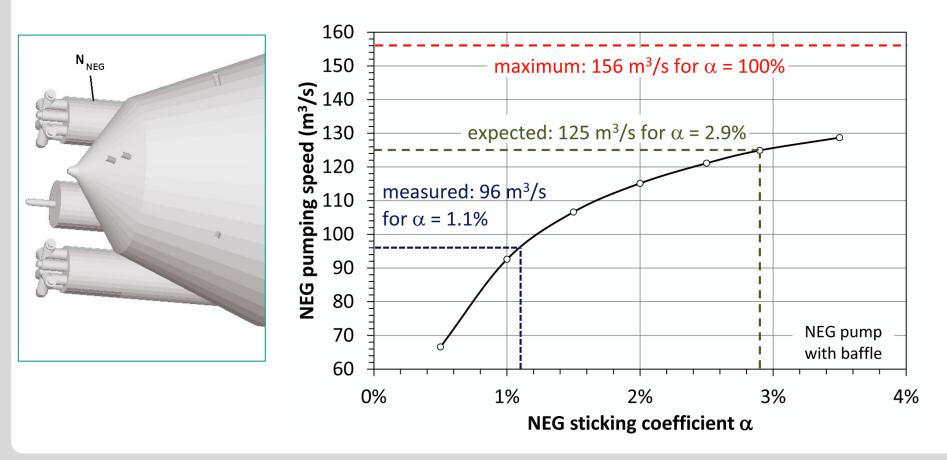
ratio of hit numbers in vacuum gauges ≈ ratio of pressures: p_{PP3} / p_{F9}
 gas: hydrogen





Simulation results for the NEGs as primary pumps

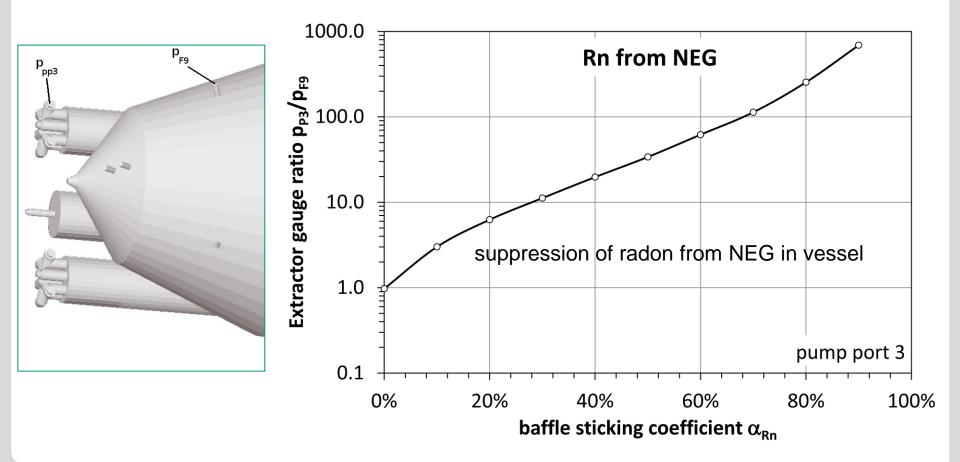
calculation of the NEG pumping speed: S = \$\frac{1}{4}\$ \cdot \bar{c}\$ \cdot A \cdot \$\frac{N_{\text{NEG}}}{N_{\text{des}}}\$
 gas: hydrogen





Simulation results for the TMPs as primary pumps

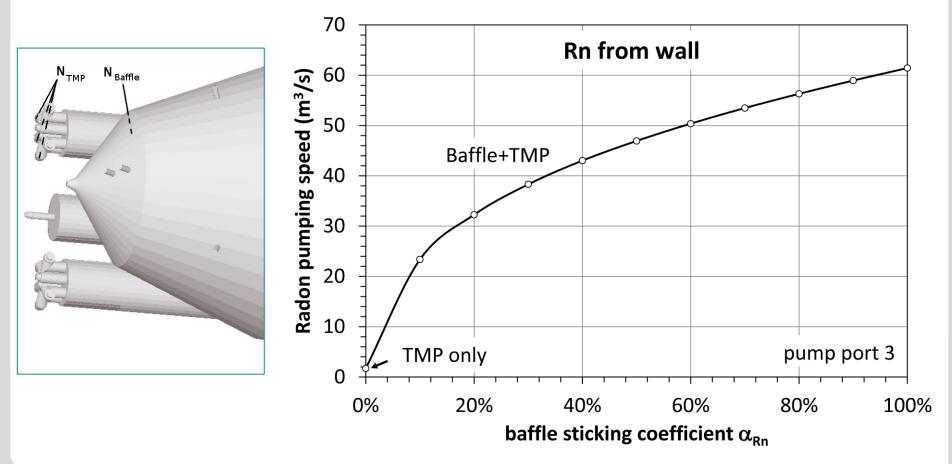
ratio of hit numbers in vacuum gauges ≈ ratio of pressures: p_{P3} / p_{F9}
 gas: radon



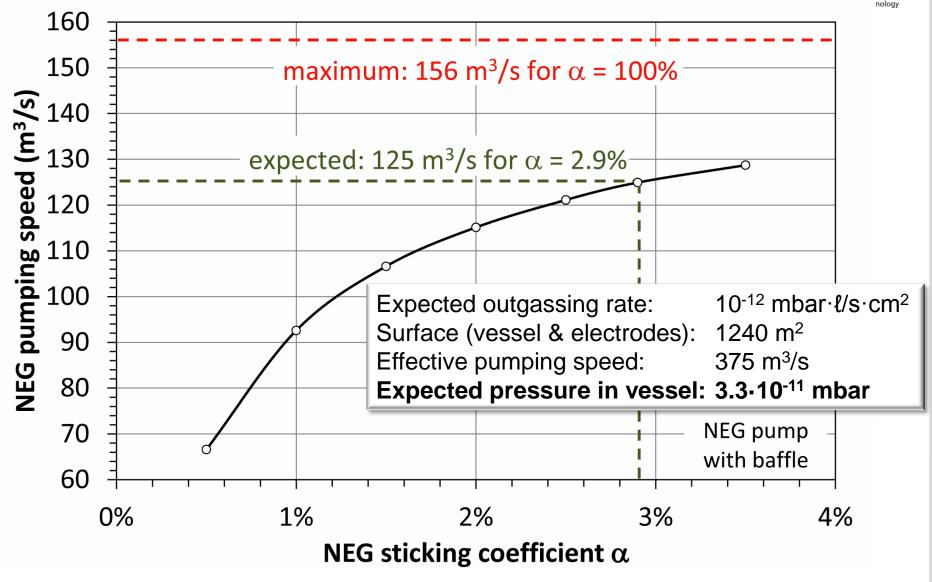


Simulation results for the TMPs as primary pumps

calculation of the pumping speed (TMP + Baffle): $S = \frac{1}{4} \cdot \overline{c} \cdot A \cdot \frac{N_{\text{TMP}} + N_{\text{Baffle}}}{N_{\text{des}}}$ gas: radon

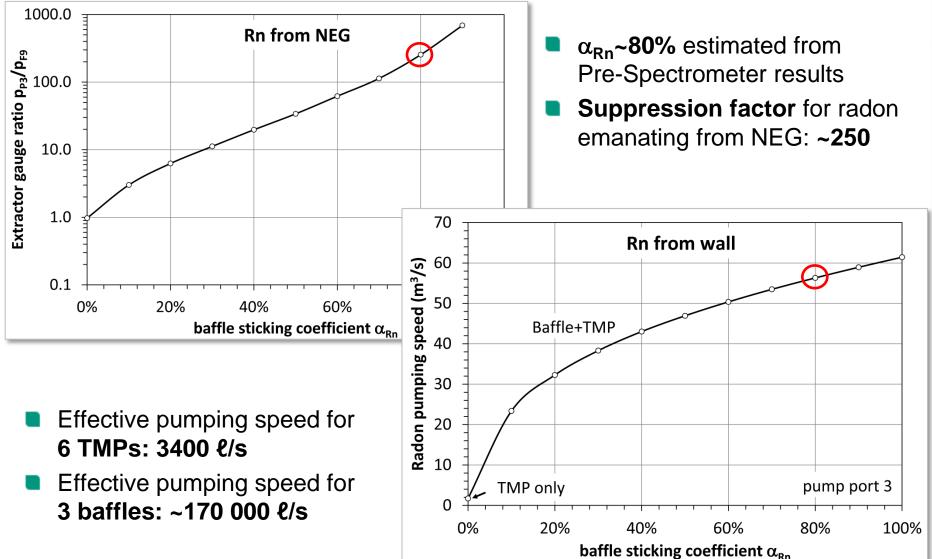


NEG simulation with baffle (MolFlow+)



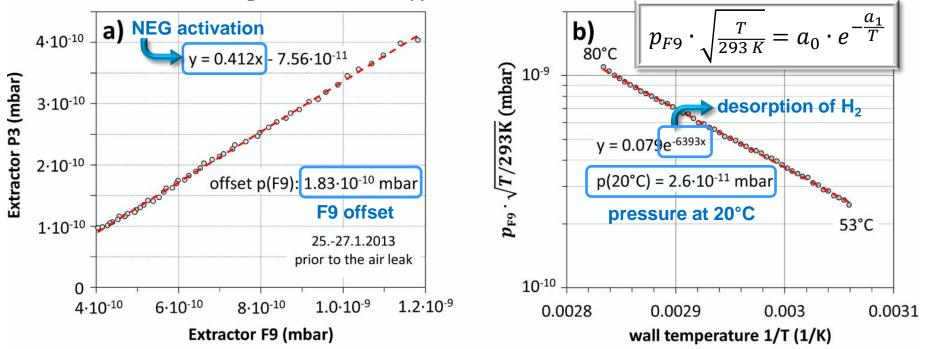
Baffle simulation for Radon (MolFlow+)





Hydrogen outgassing and pressure at 20°C

- Fit of p_{P3} versus p_{F9}
 - NEG pumping speed from p_{P3}/p_{F9} : 290 m³/s ($\alpha = 1.1\%$)
 - Offset of Extractor gauge F9: 1.8-10⁻¹⁰ mbar
- Fit of $p_{F9} \cdot \sqrt{T/293K}$ versus 1/T
 - Desorption enthalpy of H₂ on st. steel: 53 kJ/mol = 0.55 eV/H₂
 - Extrapolated pressure at 20°C: 2.6.10⁻¹¹ mbar (gas corr. H₂: 5.7.10⁻¹¹ mbar)
 - Outgassing rate $j_{H_2} = p(20^{\circ}C) \cdot S_{eff}/A = 1.4 \cdot 10^{-12} \text{ mbar} \cdot \ell/s \cdot cm^2$

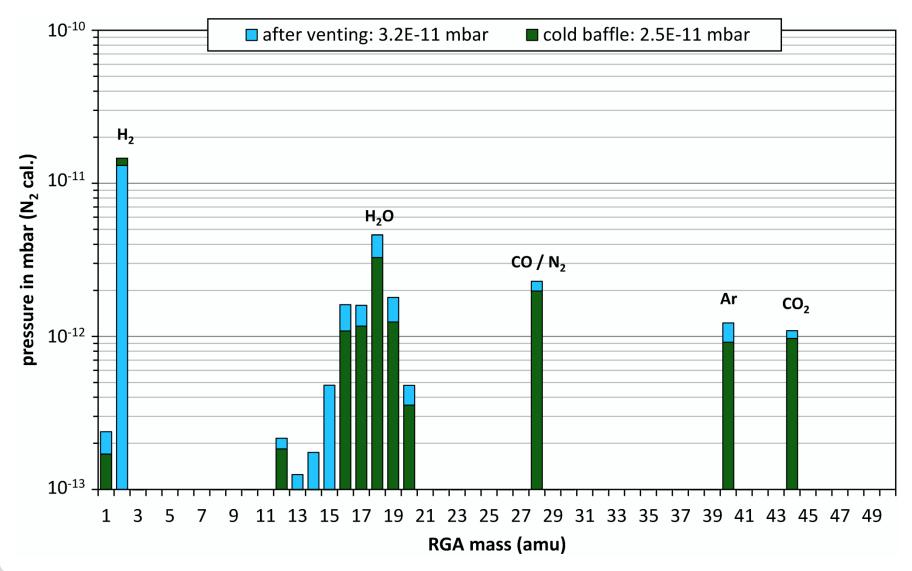


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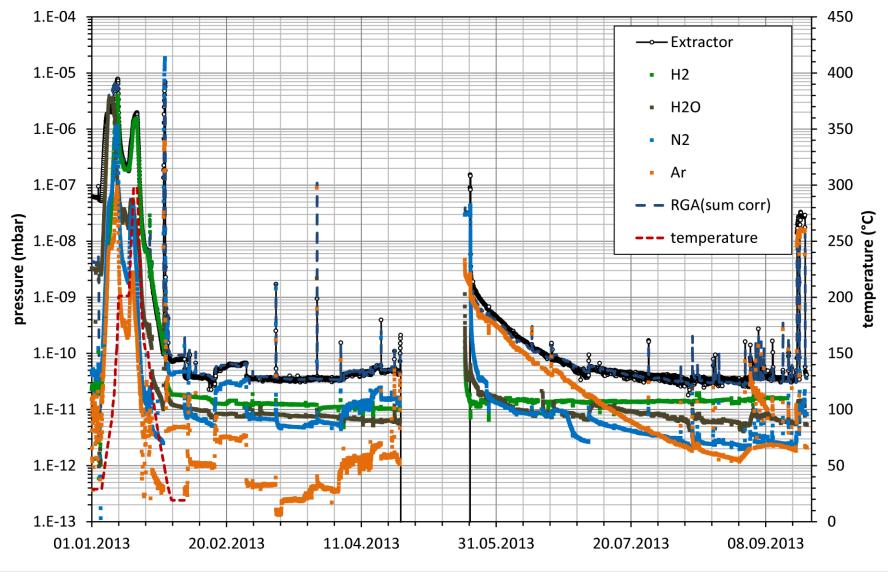
Vacuum status with cold baffles





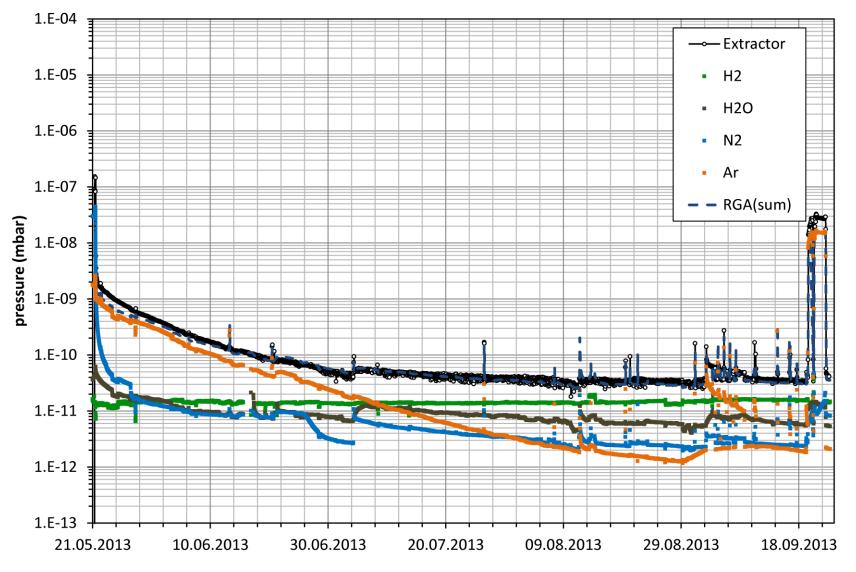
RGA spectrum (all)





RGA spectrum after venting



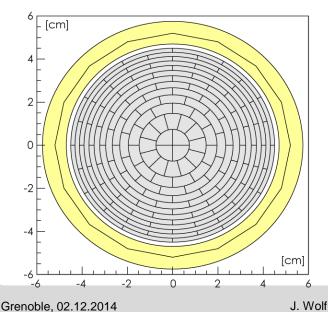


KATRIN Main Detector

- Si-PIN diode
- detection of transmitted β 's (mHz to kHz)
- Iow background for T₂ endpoint investigation
- high energy resolution:

 $\Delta E = 1.48(1) \text{ keV}$ (FWHM) at 18.6 keV

- 12 rings with 30° segmentation + 4-fold center = 148 pixels
 - minimize bg, investigate systematic effects
 - compensate field inhomogeneities of spectrometer's analyzing plane.



VACUUM, CALIBRATION SYSTEM ELECTRONICS DETECTOR **PINCH MAGNET** DETECTOR MAGNET electrons SUPPORT STRUCTURE J. Wolf - The Vacuum System of the KATRIN Experiment Institute of Experimental Nuclear Physics